



PTO/SB/08 (2-92)
Sheet 1 of 2
10/16/01

Form PTO-147
**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

Docket Number 373722001600	Application Number 09/870,876
Applicant Kenneth MCGREER	
Filing Date: May 30, 2001	Group Art Unit 2874
Mailing Date August 22, 2001	

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
✓	1.	08/23/1994	5,341,444	Henry et al.			
✓	2.	11/14/1995	5,467,418	Dragone			
✓	3.	04/22/1997	5,623,571	Chou et al.			
✓	4.	04/28/1998	5,745,618	Li			
✓	5.	05/12/1998	5,751,872	Bissessur et al.			
✓	6.	06/16/1998	5,768,450	Bhagavatula			
✓	7.	11/24/1998	5,841,919	Akiba et al.			
✓	8.	05/04/1999	5,901,259	Ando et al.			
✓	9.	07/27/1999	5,930,439	Ojha et al.			
✓	10.	08/17/1999	5,940,555	Inaba et al.			
✓	11.	11/09/1999	5,982,960	Akiba et al.			
✓	12.	10/24/2000	6,137,939	Henry et al.			
✓	13.	01/30/2001	6,181,848	Bruno et al.			
✓	14.	03/20/2001	6,205,273	Chen	✓	✓	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
tk	15.	Inoue, I. et al., (2000). "Novel birefringence compensating AWG design," <i>Optical Society of America</i> WB4-1-WB4-3.
tk	16.	Kilian, A. et al., (2000). "Birefringence Free Planar Optical Waveguide Made by Flame Hydrolysis Deposition (FHD) Through Tailoring of the Overcladding," <i>Journal of Lightwave Technology</i> 18(2): 193-198.

EXAMINER:

DATE CONSIDERED: 7/29/03

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

Docket Number 373722001600

Application Number 09/870,876

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

Kenneth MCGREER

Filing Date: May 30, 2001

Group Art Unit 2874

Mailing Date August 22, 2001

(Use several sheets if necessary)

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|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 17. | Nadler, C.K. et al. (1999). "Polarization Insensitive, Low-Loss, Low-Crosstalk Wavelength Multiplexer Modules," <i>IEEE Journal of Selected Topics in Quantum Electronics</i> 5(5): 1407-1412. |
| 18. | Okamoto, K. (2000). "Ch. 9: Planar Lightwave Circuits," <i>Fundamentals of Optical Waveguides</i> . pp. 346-381. |
| 19. | Vreeburg, C.G.M. et al. (1998). "A low-loss 16-channel polarization dispersion-compensated PHASAR demultiplexer," <i>IEEE Photonics Technology Letters</i> 10(3): 382-384. |
| 20. | Yamada, H. et al. (2000). "Dispersion Resulting From Phase and Amplitude Errors in Arrayed-Waveguide Grating Multiplexers-Demultiplexers," <i>Optic Letters</i> 25(8): 569-571. |

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